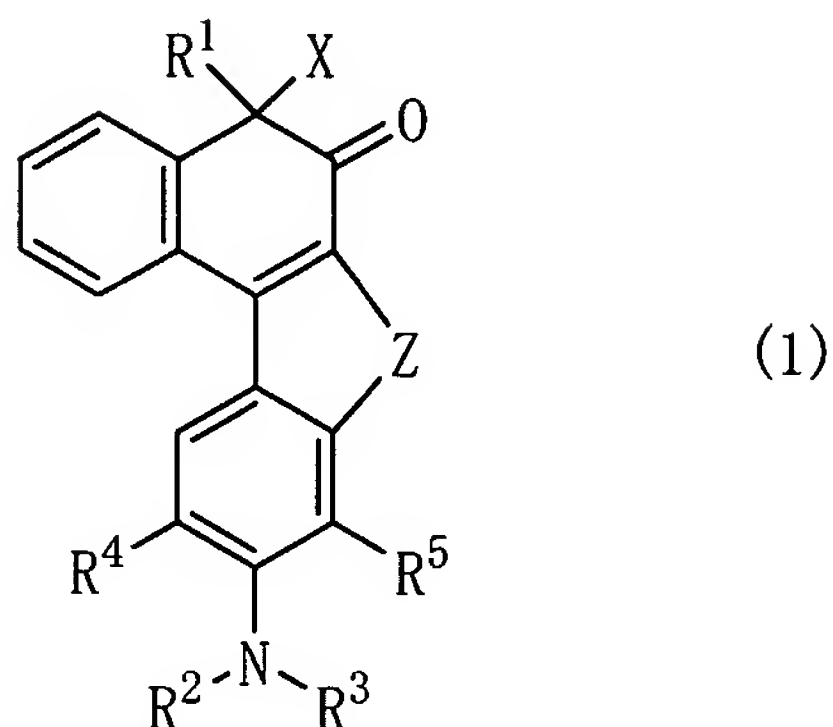


LISTING OF CLAIMS:

1. (Original) A heteropolycyclic compound represented by General Formula (1):

[Chemical Formula 1]



wherein R^1 is a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group or a substituted or unsubstituted phenyl group;

R^2 and R^3 are the same or different and are each a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group or a substituted or unsubstituted phenyl group, or R^2 and R^3 may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R^4 and R^5 are each a hydrogen atom;

R^2 and R^4 , and/or R^3 and R^5 may be linked to each other to form a straight- or branched-chain C_2 - C_7 alkylene group;

X is a hydrogen atom, a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group, a substituted or unsubstituted phenyl group, a halogen

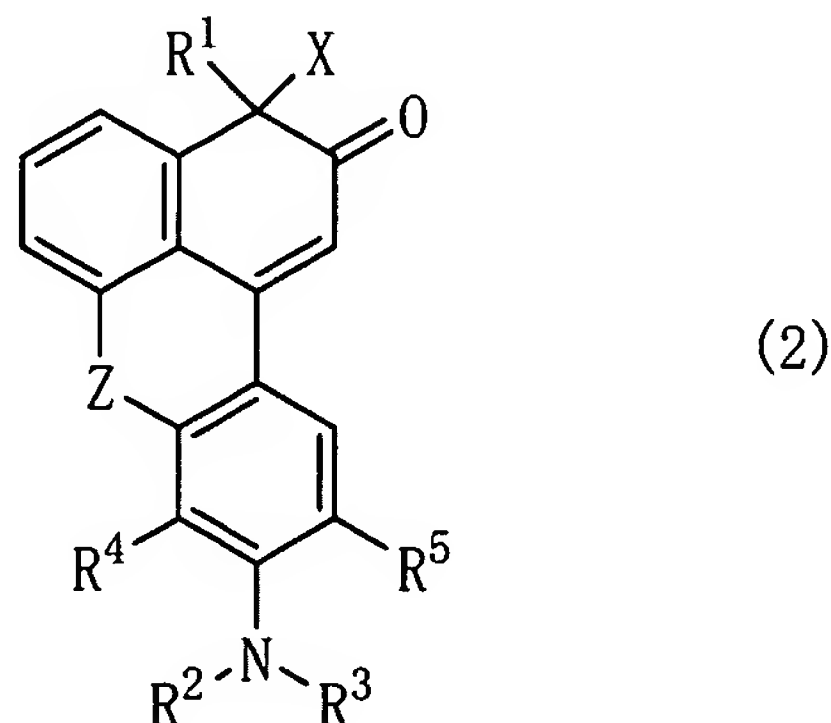
atom, an $-\text{OCOR}^6$ group, an $-\text{OR}^6$ group, an SR^6 group or an $-\text{NR}^6\text{R}^7$ group;

R^6 and R^7 are the same or different and are each a hydrogen atom, a straight- or branched-chain $\text{C}_1\text{-C}_6$ alkyl group or a substituted or unsubstituted $\text{C}_5\text{-C}_{10}$ cycloalkyl group; and

Z is a divalent group.

2. (Original) A heteropolycyclic compound according to claim 1, wherein, in General Formula (1), R^1 is a straight- or branched-chain $\text{C}_1\text{-C}_{10}$ alkyl group or a substituted or unsubstituted phenyl group; R^2 and R^3 are each independently a straight- or branched-chain $\text{C}_1\text{-C}_{10}$ alkyl group; R^4 and R^5 are each a hydrogen atom; X is a hydrogen atom, a straight- or branched-chain $\text{C}_1\text{-C}_{10}$ alkyl group, a hydroxy group or an $-\text{OCOR}^6$ group wherein R^6 is a hydrogen atom or a straight- or branched-chain $\text{C}_1\text{-C}_6$ alkyl group; and Z is $-\text{O}-$, $-\text{S}-$ or $-\text{NR}^6-$ wherein R^6 is a hydrogen atom or a straight- or branched-chain $\text{C}_1\text{-C}_6$ alkyl group.

3. (Original) A heteropolycyclic compound represented by General Formula (2):
[Chemical Formula 2]



wherein R^1 is a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group or a substituted or unsubstituted phenyl group;

R^2 and R^3 are the same or different and are each a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group or a substituted or unsubstituted phenyl group, or R^2 and R^3 may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R^4 and R^5 are each a hydrogen atom;

R^2 and R^4 , and/or R^3 and R^5 may be linked to each other to form a straight- or branched-chain C_2 - C_7 alkylene group;

X is a hydrogen atom, a straight- or branched-chain C_1 - C_{10} alkyl group, a substituted or unsubstituted C_5 - C_{10} cycloalkyl group, a substituted or unsubstituted phenyl group, a halogen atom, an $-OCOR^6$ group, an $-OR^6$ group, an $-SR^6$ group or an $-NR^6R^7$ group;

R^6 and R^7 are the same or different and are each a hydrogen atom, a straight- or branched-chain C_1 - C_6 alkyl group or a substituted or unsubstituted C_5 - C_{10} cycloalkyl group; and

Z is a divalent group.

4. (Original) A heteropolycyclic compound according to claim 3, wherein, in General Formula (2), R^1 is a straight- or branched-chain C_1 - C_{10} alkyl group or a substituted or unsubstituted phenyl group; R^2 and R^3 are each independently a straight- or branched-chain C_1 - C_{10} alkyl group; R^4 and R^5 are each a hydrogen atom; X is a hydrogen atom, a straight- or branched-

chain C₁-C₁₀ alkyl group, a hydroxy group or an -OCOR⁶ group wherein R⁶ is a hydrogen atom or a straight- or branched-chain C₁-C₆ alkyl group; and Z is -O-, -S- or -NR⁶- wherein R⁶ is a hydrogen atom or a straight- or branched-chain C₁-C₆ alkyl group.

5. (Currently amended) A colorant comprising a heteropolycyclic compound according to claim 1 ~~any one of claims 1 to 4.~~

6. (Currently amended) A pigment or dye comprising a heteropolycyclic compound according to claim 1 ~~any one of claims 1 to 4.~~

7. (New) A colorant comprising a heteropolycyclic compound according to claim 2.

8. (New) A colorant comprising a heteropolycyclic compound according to claim 3.

9. (New) A colorant comprising a heteropolycyclic compound according to claim 4.

10. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 2.

11. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 3.

12. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 4.